

ANNEXURE IV

FORM MGT 9 EXTRACT OF ANNUAL RETURN

As on financial year ended on 31.03.2019

[Pursuant to Section 92(3) of the Companies Act, 2013 and Rule 12(1) of the Companies (Management & Administration) Rules, 2014]

I. REGISTRATION & OTHER DETAILS

| | | |
|----|---|--|
| 1. | CIN | L99999MH1994PLC082802. |
| 2. | Registration Date | 10/11/1994. |
| 3. | Name of the Company | Balaji Telefilms Limited. |
| 4. | Category/Sub-category of the Company | Company Limited by Shares/Public Non-Government Company. |
| 5. | Address of the Registered office & Contact details | C-13, Balaji House, Dalia Industrial Estate, Opp. Laxmi Industrial Estate, New Link Road, Andheri (West), Mumbai – 400 053. Tel:- +91-022-40698000, Fax: +91-022-40698181/82 Email: investor@balajitelefilms.com Website: www.balajitelefilms.com |
| 6. | Whether listed Company | Yes |
| 7. | Name, Address & Contact details of the Registrar & Transfer Agent, if any. | Karvy Fintech Private Limited Karvy Selenium Tower B, Plot No 31 & 32, Gachibowli, Financial District, Nanakramguda, Serilingampally Mandal, Hyderabad – 500 032. Tel: +91-40-6716 2222/1500 Fax: +91-40-23440674 Email: einward.ris@karvy.com Website: www.karvyfintech.com |

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY (All the business activities contributing 10 % or more of the total turnover of the Company shall be stated)

| Sr. No. | Name and Description of main products / services | NIC Code of the Product / service | % to total turnover of the Company |
|---------|--|-----------------------------------|------------------------------------|
| 1. | Media & Entertainment | 591 | 100 |

ANNEXURE IV

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

| Name and Address of the Company | CIN/GLN | Holding/Subsidiary Associate | % of Shares Held | Applicable Section |
|--|-----------------------|-----------------------------------|------------------|--------------------|
| Balaji Motion Pictures Limited* | U22300MH2007PLC168515 | Wholly – Owned Subsidiary Company | 100 | 2 (87) |
| ALT Digital Media Entertainment Limited * | U74999MH2015PLC266206 | Wholly – Owned Subsidiary Company | 100 | 2 (87) |
| Marinating Films Private Limited* | U74120MH2011PTC220971 | Subsidiary Company | 99.90 | 2 (87) |
| Chhayabani Balaji Entertainment Private Limited* | U22190MH2015PTC261948 | Subsidiary Company | 50 | 2 (87) |

*Registered Office at C-13, Balaji House, Dalia Industrial Estate, Opp. Laxmi Industrial Estate, New Link Road, Andheri (West), Mumbai- 400 053, Maharashtra.

IV. SHAREHOLDING PATTERN (EQUITY SHARE CAPITAL BREAKUP AS PERCENTAGE OF TOTAL EQUITY)

i) Category-wise Shareholding:

| Category of Shareholders | No. of Shares held at the beginning of the year i.e. April 01, 2018 | | | | No. of Shares held at the end of the year i.e. March 31, 2019 | | | | % Change during the year |
|---|--|----------|--------------------|-------------------|--|----------|--------------------|-------------------|--------------------------|
| | Demat | Physical | Total | % of Total Shares | Demat | Physical | Total | % of Total Shares | |
| A. Promoters & Promoter Group | | | | | | | | | |
| (1) Indian | | | | | | | | | |
| a) Individual/ HUF | 3,28,73,663 | 0 | 3,28,73,663 | 32.51 | 3,46,07,830 | 0 | 3,46,07,830 | 34.22 | 1.71 |
| b) Central Govt | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| c) State Govt(s) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| d) Bodies Corporate | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| e) Banks / FI | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| f) Any other | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Sub-total (A)(1) | 3,28,73,663 | 0 | 3,28,73,663 | 32.51 | 3,46,07,830 | 0 | 3,46,07,830 | 34.22 | 1.71 |
| (2) Foreign | | | | | | | | | |
| a) Individuals (NRIs/Foreign Individuals) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| b) Other Individuals | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| c) Bodies Corporate | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| d) Institutions | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| e) Qualified Foreign Investor | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| f) Others | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

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| Category of Shareholders | No. of Shares held at the beginning of the year i.e. April 01, 2018 | | | | No. of Shares held at the end of the year i.e. March 31, 2019 | | | | % Change during the year |
|--|--|----------|--------------------|-------------------|--|----------|--------------------|-------------------|--------------------------|
| | Demat | Physical | Total | % of Total Shares | Demat | Physical | Total | % of Total Shares | |
| Sub-total (A)(2) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Shareholding of Promoters A= A (1) + A(2) | 3,28,73,663 | 0 | 3,28,73,663 | 32.51 | 3,46,07,830 | 0 | 3,46,07,830 | 34.22 | 1.71 |
| B. Public Shareholding | | | | | | | | | |
| (1) Institutions | | | | | | | | | |
| a) Mutual Funds / UTI | 1,44,874 | 0 | 1,44,874 | 0.14 | 5,16,339 | 0 | 5,16,339 | 0.51 | 0.37 |
| b) Banks / Financial Institutions | 21,36,939 | 0 | 21,36,939 | 2.11 | 5,01,370 | 0 | 5,01,370 | 0.50 | -1.62 |
| c) Central Govt | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| d) State Govt(s) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| e) Venture Capital Funds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| f) Insurance Companies | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| g) Foreign Institutional Investors | 1,80,66,634 | 0 | 1,80,66,634 | 17.86 | 1,93,98,743 | 0 | 1,93,98,743 | 19.18 | 1.32 |
| h) Foreign Venture Capital Investors | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| i) Qualified Foreign Investor | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| j) Others | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Sub-total (B)(1) | 2,03,48,447 | 0 | 2,03,48,447 | 20.12 | 2,04,16,452 | 0 | 2,04,16,452 | 20.19 | 0.07 |
| (2) Non-Institutions | | | | | | | | | |
| a) Bodies Corporate | 3,20,66,764 | 0 | 3,20,66,764 | 31.71 | 3,20,80,154 | 0 | 3,20,80,154 | 31.72 | 0.01 |
| b) Individuals | | | | | | | | | |
| i) Individual shareholders holding nominal share capital upto ₹ 1 lakh | 76,95,194 | 6,115 | 77,01,309 | 7.62 | 77,41,559 | 5,555 | 77,47,114 | 7.66 | 0.05 |
| ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh | 59,94,209 | 0 | 59,94,209 | 5.93 | 46,29,121 | 0 | 46,29,121 | 4.58 | -1.35 |
| c) Others | | | | | | | | | |

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| Category of Shareholders | No. of Shares held at the beginning of the year i.e. April 01, 2018 | | | | No. of Shares held at the end of the year i.e. March 31, 2019 | | | | % Change during the year |
|---|--|--------------|---------------------|-------------------|--|--------------|---------------------|-------------------|--------------------------|
| | Demat | Physical | Total | % of Total Shares | Demat | Physical | Total | % of Total Shares | |
| HUF | 6,04,389 | 0 | 6,04,389 | 0.60 | 4,41,934 | 0 | 4,41,934 | 0.44 | -0.16 |
| Foreign Corporate Bodies | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Directors | 300 | 0 | 300 | 0 | 300 | 0 | 300 | 0 | 0 |
| Clearing Members | 58,792 | 0 | 58,792 | 0.06 | 86,394 | 0 | 86,394 | 0.09 | 0.03 |
| IEPF | 738 | 0 | 738 | 0 | 741 | 0 | 741 | 0 | 0 |
| Non-Resident Indians | 7,53,639 | 0 | 7,53,639 | 0.75 | 4,77,329 | 0 | 4,77,329 | 0.47 | -0.27 |
| NRI Non-Repatriation | 7,26,143 | 0 | 7,26,143 | 0.72 | 6,43,024 | 0 | 6,43,024 | 0.64 | -0.08 |
| Trusts | 2,050 | 0 | 2,050 | 0 | 50 | 0 | 50 | 0 | 0 |
| d) Qualified Foreign Investor | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Sub-total (B)(2) | 4,79,02,218 | 6,115 | 4,79,08,333 | 47.37 | 4,61,00,606 | 5,555 | 4,61,06,161 | 45.59 | -1.78 |
| Total Public Shareholding (B)= (B)(1)+(B)(2) | 6,82,50,665 | 6,115 | 6,82,56,780 | 67.49 | 6,65,17,058 | 5,555 | 6,65,22,613 | 65.78 | -1.71 |
| C. Shares held by Custodian for GDRs & ADRs | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Grand Total (A+B+C) | 10,11,24,328 | 6,115 | 10,11,30,443 | 100.00 | 10,11,24,888 | 5,555 | 10,11,30,443 | 100.00 | 0.00 |

ii) Shareholding of Promoter:

| Sr. No. | Shareholder's Name | Shareholding at the beginning of the year i.e. April 01, 2018 | | | Shareholding at the end of the year i.e. March 31, 2019 | | | % change in shareholding during the year |
|---------|----------------------|--|----------------------------------|--|--|----------------------------------|--|--|
| | | No. of Shares | % of total Shares of the Company | % of Shares Pledged / encumbered to total shares | No. of Shares | % of total Shares of the Company | % of Shares Pledged / encumbered to total shares | |
| 1. | Mr. Jeetendra Kapoor | 32,60,522 | 3.22 | 0 | 32,60,522 | 3.22 | 0 | 0 |
| 2. | Mrs. Shobha Kapoor | 1,00,35,633 | 9.92 | 0 | 1,10,08,850 | 10.89 | 0 | 0.96 |
| 3. | Ms. Ekta Kapoor | 1,75,47,258 | 17.35 | 0 | 1,83,08,208 | 18.10 | 0 | 0.75 |
| 4. | Mr. Tusshar Kapoor | 20,30,250 | 2.01 | 0 | 20,30,250 | 2.01 | 0 | 0 |
| | Total | 3,28,73,663 | 32.50 | 0 | 3,46,07,830 | 34.22 | 0 | 1.71 |

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iii) Change in Promoters' Shareholding:

| Sr. No. | Name of the Shareholder | Shareholding | | Cumulative Shareholding during the year | |
|---------|------------------------------|---------------|----------------------------------|---|----------------------------------|
| | | No. of shares | % of total shares of the Company | No. of shares | % of total shares of the Company |
| 1. | Mr. Jeetendra Kapoor | | | | |
| | At the beginning of the year | 32,60,522 | 3.22 | 32,60,522 | 3.22 |
| | Bought during the year | - | - | 32,60,522 | 3.22 |
| | Sold during the year | - | - | 32,60,522 | 3.22 |
| | At the end of the year | 32,60,522 | 3.22 | 32,60,522 | 3.22 |
| 2. | Mrs. Shobha Kapoor | | | | |
| | At the beginning of the year | 1,00,35,633 | 9.92 | 100,35,633 | 9.92 |
| | Bought during the year | 9,73,217 | 0.96 | 1,10,08,850 | 10.89 |
| | Sold during the year | - | - | 1,10,08,850 | 10.89 |
| | At the end of the year | 1,10,08,850 | 10.89 | 1,10,08,850 | 10.89 |
| 3. | Ms. Ekta Kapoor | | | | |
| | At the beginning of the year | 1,75,47,258 | 17.35 | 1,75,47,258 | 17.35 |
| | Bought during the year | 7,60,950 | 0.75 | 1,83,08,208 | 18.10 |
| | Sold during the year | - | - | 1,83,08,208 | 18.10 |
| | At the end of the year | 1,83,08,208 | 18.10 | 1,83,08,208 | 18.10 |
| 4. | Mr. Tusshar Kapoor | | | | |
| | At the beginning of the year | 20,30,250 | 2.01 | 20,30,250 | 2.01 |
| | Bought during the year | - | - | 20,30,250 | 2.01 |
| | Sold during the year | - | - | 20,30,250 | 2.01 |
| | At the end of the year | 20,30,250 | 2.01 | 20,30,250 | 2.01 |

iv) Shareholding Pattern of top ten Shareholders (Other than Directors, Promoters and Holders of GDRs and ADRs) as on March 31, 2019:

| Sr. No. | Name of Shareholders | Shareholding | | Cumulative Shareholding during the Year | |
|---------|------------------------------------|---------------|----------------------------------|---|----------------------------------|
| | | No. of shares | % of total shares of the Company | No. of shares | % of total shares of the Company |
| 1. | Reliance Industries Limited | | | | |
| | At the beginning of the year | 2,52,00,000 | 24.92 | 2,52,00,000 | 24.92 |
| | Bought during the year | 0 | 0 | 2,52,00,000 | 24.92 |
| | Sold during the year | 0 | 0 | 2,52,00,000 | 24.92 |
| | At the end of the year | 2,52,00,000 | 24.92 | 2,52,00,000 | 24.92 |

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| Sr. No. | Name of Shareholders | Shareholding | | Cumulative Shareholding during the Year | |
|---------|---|---------------|----------------------------------|---|----------------------------------|
| | | No. of shares | % of total shares of the Company | No. of shares | % of total shares of the Company |
| 2. | Atyant Capital India Fund I | | | | |
| | At the beginning of the year | 40,78,223 | 4.03 | 40,78,223 | 4.03 |
| | Bought during the year | 0 | 0 | 40,78,223 | 4.03 |
| | Sold during the year | 0 | 0 | 40,78,223 | 4.03 |
| | At the end of the year | 40,78,223 | 4.03 | 40,78,223 | 4.03 |
| 3. | AADI Financial Advisors LLP | | | | |
| | At the beginning of the year | 36,35,000 | 3.59 | 36,35,000 | 3.59 |
| | Bought during the year | 0 | 0 | 36,35,000 | 3.59 |
| | Sold during the year | 0 | 0 | 36,35,000 | 3.59 |
| | At the end of the year | 36,35,000 | 3.59 | 36,35,000 | 3.59 |
| 4. | GHI LTP Ltd.# | | | | |
| | At the beginning of the year | 36,00,000 | 3.56 | 36,00,000 | 3.56 |
| | Bought during the year | 0 | 0 | 36,00,000 | 3.56 |
| | Sold during the year | 36,00,000 | 3.56 | 0 | 0 |
| | At the end of the year | 0 | 0 | 0 | 0 |
| 5. | Gothic Corporation* | | | | |
| | At the beginning of the year | 0 | 0 | 0 | 0 |
| | Bought during the year | 36,00,000 | 3.56 | 36,00,000 | 3.56 |
| | Sold during the year | 0 | 0 | 36,00,000 | 3.56 |
| | At the end of the year | 36,00,000 | 3.56 | 36,00,000 | 3.56 |
| 6. | Vanderbilt University -Atyant Capital Management | | | | |
| | At the beginning of the year | 35,41,227 | 3.50 | 35,41,227 | 3.50 |
| | Bought during the year | 7,36,000 | 0.73 | 42,77,227 | 4.23 |
| | Sold during the year | 0 | 0 | 42,77,227 | 4.23 |
| | At the end of the year | 42,77,227 | 4.23 | 42,77,227 | 4.23 |
| 7. | India capital Fund Limited | | | | |
| | At the beginning of the year | 18,76,777 | 1.86 | 18,76,777 | 1.86 |
| | Bought during the year | 6,88,000 | 0.68 | 25,64,777 | 2.54 |
| | Sold during the year | 0 | 0 | 25,64,777 | 2.54 |
| | At the end of the year | 25,64,777 | 2.54 | 25,64,777 | 2.54 |
| 8. | Axis Bank Limited | | | | |
| | At the beginning of the year | 17,15,588 | 1.70 | 17,15,588 | 1.70 |
| | Bought during the year | 0 | 0 | 17,15,588 | 1.70 |
| | Sold during the year | 30,023 | 0.03 | 16,85,565 | 1.67 |
| | Bought during the year | 14,423 | 0.01 | 16,99,988 | 1.68 |

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| Sr. No. | Name of Shareholders | Shareholding | | Cumulative Shareholding during the Year | |
|---------|------------------------|---------------|----------------------------------|---|----------------------------------|
| | | No. of shares | % of total shares of the Company | No. of shares | % of total shares of the Company |
| | Sold during the year | 137 | 0 | 16,99,851 | 1.68 |
| | Bought during the year | 38,077 | 0.04 | 17,37,928 | 1.72 |
| | Sold during the year | 147 | 0 | 17,37,781 | 1.72 |
| | Bought during the year | 36,000 | 0.04 | 17,73,781 | 1.75 |
| | Sold during the year | 2,665 | 0 | 17,71,116 | 1.75 |
| | Bought during the year | 53,277 | 0.05 | 18,24,393 | 1.80 |
| | Sold during the year | 4,489 | 0 | 18,19,904 | 1.80 |
| | Bought during the year | 2,70,479 | 0.27 | 20,90,383 | 2.07 |
| | Sold during the year | 2,318 | 0 | 20,88,065 | 2.06 |
| | Bought during the year | 24,078 | 0.02 | 21,12,143 | 2.09 |
| | Sold during the year | 3,976 | 0 | 21,08,167 | 2.08 |
| | Bought during the year | 2,108 | 0 | 21,00,275 | 2.09 |
| | Sold during the year | 50,888 | 0.05 | 20,59,387 | 2.04 |
| | Bought during the year | 62,112 | 0.06 | 21,21,499 | 2.10 |
| | Sold during the year | 17,611 | 0.02 | 21,03,888 | 2.08 |
| | Bought during the year | 15,150 | 0.01 | 21,19,038 | 2.10 |
| | Sold during the year | 1,44,906 | 0.14 | 19,74,132 | 1.95 |
| | Bought during the year | 622 | 0 | 19,74,754 | 1.95 |
| | Sold during the year | 7,285 | 0.01 | 19,67,469 | 1.95 |
| | Bought during the year | 3,525 | 0 | 19,70,994 | 1.95 |
| | Sold during the year | 387 | 0 | 19,70,607 | 1.95 |
| | Bought during the year | 500 | 0 | 19,71,107 | 1.95 |
| | Sold during the year | 56 | 0 | 19,71,051 | 1.95 |
| | Bought during the year | 2,000 | 0 | 19,73,051 | 1.95 |
| | Sold during the year | 10,938 | 0.01 | 19,62,113 | 1.94 |
| | Bought during the year | 5,089 | 0.01 | 19,67,202 | 1.95 |
| | Sold during the year | 25,940 | 0.03 | 19,41,262 | 1.92 |
| | Bought during the year | 914 | 0 | 19,42,176 | 1.92 |
| | Sold during the year | 2,000 | 0 | 19,40,176 | 1.92 |
| | Bought during the year | 15,288 | 0.02 | 19,55,464 | 1.93 |
| | Sold during the year | 21,368 | 0.02 | 19,34,096 | 1.91 |
| | Bought during the year | 3,289 | 0 | 19,37,385 | 1.92 |
| | Sold during the year | 18,87,015 | 1.87 | 50,370 | 0.05 |
| | Bought during the year | 1,544 | 0 | 51,914 | 0.05 |
| | At the end of the year | 51,914 | 0.05 | 51,914 | 0.05 |

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| Sr. No. | Name of Shareholders | Shareholding | | Cumulative Shareholding during the Year | |
|---------|---|---------------|----------------------------------|---|----------------------------------|
| | | No. of shares | % of total shares of the Company | No. of shares | % of total shares of the Company |
| 9. | GHI JBD Ltd.# | | | | |
| | At the beginning of the year | 12,95,000 | 1.28 | 12,95,000 | 1.28 |
| | Bought during the year | 0 | 0 | 12,95,000 | 1.28 |
| | Sold during the year | 12,95,000 | 1.28 | 0 | 0 |
| | At the end of the year | 0 | 0 | 0 | 0 |
| 10. | The Duke Endowment* | | | | |
| | At the beginning of the year | 0 | 0 | 0 | 0 |
| | Bought during the year | 12,95,000 | 1.28 | 12,95,000 | 1.28 |
| | Sold during the year | 0 | 0 | 12,95,000 | 1.28 |
| | At the end of the year | 12,95,000 | 1.28 | 12,95,000 | 1.28 |
| 11. | Gothic HSP Corporation* | | | | |
| | At the beginning of the year | 0 | 0 | 0 | 0 |
| | Bought during the year | 11,87,663 | 1.17 | 11,87,663 | 1.17 |
| | Sold during the year | 0 | 0 | 11,87,663 | 1.17 |
| | At the end of the year | 11,87,663 | 1.17 | 11,87,663 | 1.17 |
| 12. | GHI HSP Ltd.# | | | | |
| | At the beginning of the year | 11,87,663 | 1.17 | 11,87,663 | 1.17 |
| | Bought during the year | 0 | 0 | 11,87,663 | 1.17 |
| | Sold during the year | 11,87,663 | 1.17 | 0 | 0 |
| | At the end of the year | 0 | 0 | 0 | 0 |
| 13. | HSBC Global Investment Funds-Indian Equity | | | | |
| | At the beginning of the year | 8,05,765 | 0.80 | 8,05,765 | 0.80 |
| | Bought during the year | 77,132 | 0.07 | 8,82,897 | 0.87 |
| | Sold during the year | 0 | 0 | 8,82,897 | 0.87 |
| | At the end of the year | 8,82,897 | 0.87 | 8,82,897 | 0.87 |
| 14. | Employees' Retirement Plan of Duke University* | | | | |
| | At the beginning of the year | 0 | 0 | 0 | 0 |
| | Bought during the year | 6,41,587 | 0.63 | 6,41,587 | 0.63 |
| | Sold during the year | 0 | 0 | 6,41,587 | 0.63 |
| | At the end of the year | 6,41,587 | 0.63 | 6,41,587 | 0.63 |

*Not in the list of top 10 shareholders as on April 01, 2018. The same has been reflected above since the shareholder was one of the top 10 shareholders as on March 31, 2019.

Ceased to be in the list of top 10 shareholders as on March 31, 2019. The same is reflected above since the shareholder was one of the top 10 shareholder as on April 01, 2018.

ANNEXURE IV

v) Shareholding of Directors and Key Managerial Personnel:

| Sr. No. | Name of Directors / KMP | Shareholding | | Cumulative Shareholding during the year | |
|---------|---------------------------------------|---------------|----------------------------------|---|----------------------------------|
| | | No. of shares | % of total shares of the company | No. of shares | % of total shares of the company |
| 1. | Mr. Jeetendra Kapoor | | | | |
| | At the beginning of the year | 32,60,522 | 3.22 | 32,60,522 | 3.22 |
| | Bought during the year | - | - | 32,60,522 | 3.22 |
| | Sold during the year | - | - | 32,60,522 | 3.22 |
| | At the end of the year | 32,60,522 | 3.22 | 32,60,522 | 3.22 |
| 2. | Mrs. Shobha Kapoor | | | | |
| | At the beginning of the year | 1,00,35,633 | 9.92 | 1,00,35,633 | 9.92 |
| | Bought during the year | 9,73,217 | 0.96 | 1,10,08,850 | 10.89 |
| | Sold during the year | - | - | 1,10,08,850 | 10.89 |
| | At the end of the year | 1,10,08,850 | 10.89 | 1,10,08,850 | 10.89 |
| 3. | Ms. Ekta Kapoor | | | | |
| | At the beginning of the year | 1,75,47,258 | 17.35 | 1,75,47,258 | 17.35 |
| | Bought during the year | 7,60,950 | 0.75 | 1,83,08,208 | 18.10 |
| | Sold during the year | - | - | 1,83,08,208 | 18.10 |
| | At the end of the year | 1,83,08,208 | 18.10 | 1,83,08,208 | 18.10 |
| 4. | Mr. Tusshar Kapoor | | | | |
| | At the beginning of the year | 20,30,250 | 2.01 | 20,30,250 | 2.01 |
| | Bought during the year | - | - | 20,30,250 | 2.01 |
| | Sold during the year | - | - | 20,30,250 | 2.01 |
| | At the end of the year | 20,30,250 | 2.01 | 20,30,250 | 2.01 |
| 5. | Mr. Duraiswamy Gunaseela Rajan | | | | |
| | At the beginning of the year | 300 | 0.00 | 300 | 0.00 |
| | Bought during the year | - | - | 300 | 0.00 |
| | Sold during the year | - | - | 300 | 0.00 |
| | At the end of the year | 300 | 0.00 | 300 | 0.00 |

Notes:

- Directors: Mr. Arun Kumar Purwar, Mr. Ashutosh Khanna, Mr. Pradeep Kumar Sarda, Mr. Devender Kumar Vasal, Mr. Virendra Babubhai Dalal, Mr. Anshuman Thakur, Ms. Jyoti Deshpande and KMPs: Mr. Sunil Lulla, Mr. Sanjay Dwivedi and Mrs. Simmi Singh Bisht did not hold any shares during the financial year 2018-19.
- Mr. Tusshar Kapoor ceased to be a Director of the Company w.e.f. March 27, 2019 and his shareholding as on March 31, 2019 was 20,30,250 shares.

V. INDEBTEDNESS

The Company had no indebtedness with respect to Secured or Unsecured Loans or Deposits during the financial year 2018-19.

ANNEXURE IV

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Director and/or Manager: (Amount in ₹)

| Sr. No. | Particulars of Remuneration | Name of MD / WTD / Manager | | Total Amount |
|---------|---|---|--|--------------------|
| | | Shobha Kapoor (Managing Director) | Ekta Kapoor (Joint Managing Director) | |
| 1. | Gross salary | | | |
| | (a) Salary as per provisions contained in Section 17(1) of the Income-Tax Act, 1961 | 2,22,60,000 | 2,22,60,000 | 4,45,20,000 |
| | (b) Value of perquisites u/s 17(2) of the Income-Tax Act, 1961 | - | - | - |
| | (c) Profits in lieu of salary u/s 17(3) of the Income-Tax Act, 1961 | - | - | - |
| 2. | Stock Option | - | - | - |
| 3. | Sweat Equity | - | - | - |
| 4. | Commission - as 1.06% of profit | | | |
| 5. | Others- Employer's Contribution to Provident Fund. | 17,28,000 | 17,28,000 | 34,56,000 |
| | Total (A) | 2,39,88,000 | 2,39,88,000 | 4,79,76,000 |
| | Ceiling as per the Act (per annum) | ₹ 2,41,03,840/- per Managerial Personnel (Calculated as per Schedule V of the Companies Act, 2013) | | |

B. Remuneration to Other Directors : (Amount in ₹)

| Sr. No. | Particulars of Remuneration | Name of Directors | | | | | | Total Amount |
|---------|--|--|-----------------|----------------------|-------------------------|-------------------|-----------------|--------------------|
| | | Duraiswamy Gunaseela Rajan | Ashutosh Khanna | Devender Kumar Vasal | Virendra Babubhai Dalal | Arun Kumar Purwar | Pradeep Sarda | |
| 1. | Independent Directors | | | | | | | |
| | Fee for attending Board and Committee Meetings | 6,00,000 | 4,00,000 | 6,00,000 | 6,00,000 | 5,00,000 | 2,50,000 | 29,50,000 |
| | Commission | - | - | - | - | - | - | - |
| | Others | - | - | - | - | - | - | - |
| | Total (1) | 6,00,000 | 4,00,000 | 6,00,000 | 6,00,000 | 5,00,000 | 2,50,000 | 29,50,000 |
| 2. | Other Non- Executive Directors | | | | | | | |
| | Fee for attending Board and Committee Meetings | 4,00,000 | 3,00,000 | 2,00,000 | 4,00,000 | | | 13,00,000 |
| | Commission | - | - | - | - | | | - |
| | Others | - | - | - | - | | | - |
| | Total (2) | 4,00,000 | 3,00,000 | 2,00,000 | 4,00,000 | | | 13,00,000 |
| | Total (B) = (1+2) | 10,00,000 | 7,00,000 | 8,00,000 | 10,00,000 | 5,00,000 | 2,50,000 | 42,50,000 |
| | Total Managerial Remuneration (A+B) | | | | | | | 5,22,26,000 |
| | Ceiling as per Act | ₹ 2,41,03,840/- per Managerial Personnel (Calculated as per Schedule V of the Companies Act, 2013) | | | | | | |

Notes:

- The Independent Directors and Non-Executive Directors have been paid only sitting fees for attending Meetings of the Board or Committees which is excluded under Section 197(2) of the Companies Act 2013.
- Mr. Tusshar Kapoor ceased to be a Director w.e.f. March 27, 2019. Therefore, remuneration paid to Mr. Tusshar Kapoor is for the period from April 01, 2018 to March 27, 2019.

ANNEXURE IV**C. Remuneration to Key Managerial Personnel other than MD / Manager / WTD (Amount in ₹)**

| Sr. No. | Particulars of Remuneration | Key Managerial Personnel | | | Total Amount |
|---------|---|----------------------------|-------------------------------|---|--------------------|
| | | Sunil Lulla (Group CEO) | Sanjay Dwivedi (Group CFO) | Simmi Singh Bisht (Group Head Secretarial) | |
| 1. | Gross salary | | | | |
| | (a) Salary as per provisions contained in Section 17(1) of the Income-Tax Act, 1961 | 2,12,69,652 | 1,44,63,356 | 22,05,340 | 3,79,38,348 |
| | (b) Value of perquisites u/s 17(2) of the Income-Tax Act, 1961 | - | - | - | - |
| | (c) Profits in lieu of salary under Section 17(3) of the Income-Tax Act, 1961 | - | - | - | - |
| 2. | Stock Option | - | - | - | - |
| 3. | Sweat Equity | - | - | - | - |
| 4. | Commission - as % of profit | - | - | - | - |
| 5. | Others - Provident Fund and other allowances | 10,22,581 | 7,68,000 | 1,33,409 | 19,23,990 |
| | Total | 2,22,92,233 | 1,52,31,356 | 23,38,749 | 3,98,62,338 |

Note:

- Mr. Sunil Lulla was appointed as the Group Chief Executive Officer of the Company w.e.f. May 25, 2018. Therefore, remuneration paid to Mr. Sunil Lulla is for the period from May 25, 2018 to March 31, 2019.

VII. PENALTIES / PUNISHMENT / COMPOUNDING OF OFFENCES

There were no penalties/punishment/compounding of offences for breach of any section of Companies Act, 2013 against the Company or its Directors or other officers in default, if any, during the year.

For and on behalf of the Board of Directors

Place: Mumbai
Date: May 22, 2019

Sd/-
Jeetendra Kapoor
Chairman
DIN: 00005345